

State Match Advantage for Rural Transportation (AZ SMART) Fund Program

ADOT Multimodal Planning Division

AZ SMART Eligibilities

Eligible Uses include:

- Reimburse up to 50% of grant development and submission costs of a federal grant application. Limited to:
 - Counties with pop of less than 100,000 and
 - Cities/towns with pop of less than 10,000
- Match for a federal grant
- Reimbursement for design and other engineering services that meet federal standards for projects eligible for a federal grant

Eligible applicants are ADOT, all cities and towns and counties *except*:

- Maricopa and Pima Counties
- Guadalupe, Paradise Valley, Tempe, Tolleson, Youngtown, South Tucson

Federal Grants associated with Funding Requests

IIJA Section	Grant Program	US Code	Brief Description/ Program Goals	NOFO Release Date	NOFO Close Date	Eligible Applicants	Eligible Projects	Match
11405	PROTECT grant program	23 USC 176	To protect surface transportation assets by making the assets more resilient to current and future weather events and natural disasters, such as severe storms, flooding, drought, levee and dam failures, wildfire, rockslides, mudslides, sea level rise, extreme weather, including extreme temperature, earthquakes and climate change; (ii) communities through resilience improvements and strategies that allow for the continued operation or rapid recovery of surface transportation systems that- (I) serve critical local, regional, and national needs, including evacuation routes; and (II) provide access or service to hospitals and other medical or emergency service facilities, major employers, critical manufacturing centers, ports and intermodal facilities, utilities, and Federal facilities	04/21/2023	8/18/2023	State, MPOs, LG, District or Tribal Government apply directly to FHWA, FLM May apply as joint with State(s)	Highway, transit, intercity passenger rail, and port facilities; Resilience planning activities, including resilience improvement grant, evacuation planning and preparation, and capacity building, Construction activities (oriented toward resilience); Construction or improvement to evacuation routes.	20% and may use other federal funds to meet the requirement

AZ SMART Legislative Changes

Two bills passed to date:

- SB 1722, Sec 15:
 - Appropriates \$12.5 mil to SMART Fund in FY 2024 budget; will add \$2.5 mil to each of the five applicant categories
 - Effective 7/1/23
- SB 1735, Sec 1:
 - Amended eligible applicants by excluding those which are “partially or entirely located in an urbanized area of a county with a population of more than one million persons”
 - Made a technical correction to Census Bureau reference
 - Effective 90 days after the Arizona Legislature adjourns *sine die* (currently unknown but will not be before at least Sept 13, 2023)

Coconino County

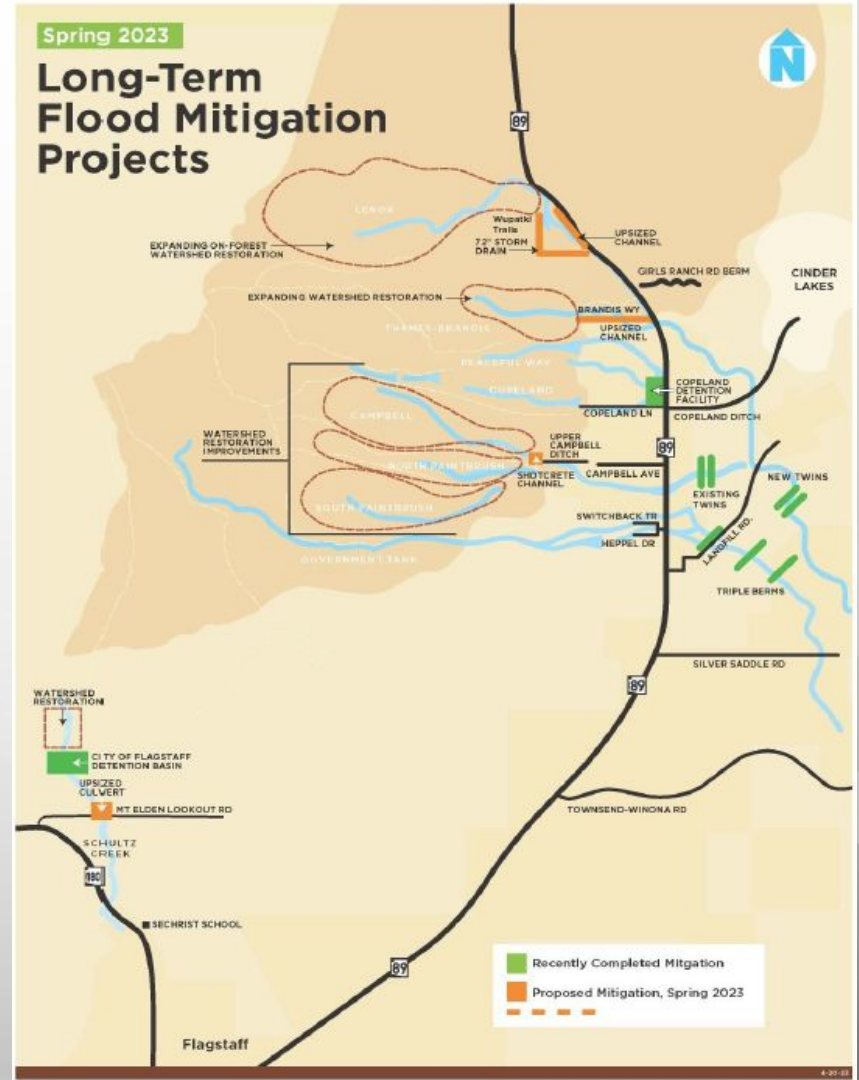
ADOT

**AZ SMART
Request:**

**\$3,705,842
for Match**

Project: Highway 89 Flood Mitigation

- AZ SMART Request will provide match on Applicant's 2023 PROTECT grant if awarded
- Work includes on-forest watershed restoration, neighborhood flood mitigation, highway drainage improvement and upsizing existing detention facility
- Project will improve resilience for future post-wildfire flooding along the Highway 89 corridor.
- Applicant is contributing \$13,182,642 or 11.25% of total project
- Submitted for design in 2023 PROTECT grant round
- Intends to be direct recipient if awarded PROTECT grant



Summary

- Summary and full applications in Board packet
- All are eligible applicants, eligible projects and have submitted COG/MPO approval
- All approved by PPAC to be considered for Board award
- Total requested for Match: \$K
- Total requested for Design & Other Engineering Services: 0
- Total requested for Grant Development & Submission: 0
- Board may approve, modify, deny or request more information

Discretionary preference criteria for Match requests highlighted in yellow

Description	Coconino County 2
Application Summary	
AZ SMART Category	County 100K+
COG/MPO	Flagstaff Metropolitan Planning Organization (MetroPlan)
Project Type	Road
Project Name	Highway 89 Flood Mitigation
Project limits	Highway 89 between Campbell Ave and N. North Fork Road; and Highway 89 at Copeland Lane
Application Received	6/23/2023 15:43
AZ SMART Request	* Pursuant to ARS §28-339.J., the following optional Board discretionary preference items are highlighted in yellow: 1) The percentage of matching monies provided by the applicant. 2) The extent that the applicant will partner with other entities to deliver the project.
Federal Grant	Be a direct recipient if allowed in the NOFO
Federal Grant phase	PROTECT Grant Program
GDS requested	
Match Requested	3,705,842
Applicant Match	13,182,642
Applicant Match %*	11.25
DOES requested	
Project Partners*	US Forest Service: \$42,348,401 Natural Resource Conservation Services NRCS: \$49,629,784 Dept of Forestry and Fire Management: \$12,134,957
Project administration	Applicant or consultant will submit directly
Cost Estimate Documentation (attached with application)	
Estimates in YOE	Yes
Source of estimates	Developed by an engineering consultant



Activity and Available Funds by Category

As of 7/3/2023	Cumulative AZ SMART Fund Report				
	ADOT	Muni < 10K	Muni 10K+	County < 100K	County 100K+
	ARS\$28-339 F.5	ARS\$28-339 F.4	ARS\$28-339 F.3	ARS\$28-339 F.2	ARS\$28-339 F.1
	DT6000	DT6010	DT6015	DT6020	DT6025
Total Revenue					
Legislative Appropriations /1	\$ 12,500,000	\$ 12,500,000	\$ 12,500,000	\$ 12,500,000	\$ 12,500,000
Interest Revenue to Date	\$ 214,787	\$ 215,182	\$ 215,182	\$ 215,182	\$ 215,182
Total Revenues	\$ 12,714,787	\$ 12,715,182	\$ 12,715,182	\$ 12,715,182	\$ 12,715,182
Set Aside					
Grant Development		\$ -		\$ -	
Match Awards	\$ -	\$ -	\$ 6,277,008	\$ -	\$ 29,000
Design & Engineering	\$ -	\$ 1,819,264	\$ 3,903,707	\$ -	\$ 1,210,000
1% Program Administration	\$ -				
Match Released	\$ -	\$ -	\$ (3,277,008)	\$ -	\$ -
Total Approved (Set Aside, Underway and Expended)					
Grant Development		\$ -		\$ -	
Match Awards	\$ -	\$ -	\$ 3,000,000	\$ -	\$ 29,000
Design & Engineering	\$ -	\$ 1,819,264	\$ 3,903,707	\$ -	\$ 1,210,000
Miscellaneous/Overhead	\$ 100,000				
Total	\$ 100,000	\$ 1,819,264	\$ 6,903,707	\$ -	\$ 1,239,000
Available for Awards	\$ 12,614,787	\$ 10,895,918	\$ 5,811,475	\$ 12,715,182	\$ 11,476,182
Pending Requests					
Grant Development		\$ -		\$ -	
Match Awards	\$ -	\$ -	\$ 3,400,000	\$ -	\$ 3,705,842
Design & Engineering	\$ -	\$ -	\$ -	\$ -	\$ -
Total	\$ -	\$ -	\$ 3,400,000	\$ -	\$ 3,705,842
After Approval of Pending Requests	12,614,787	10,895,918	2,411,475	12,715,182	7,770,340
Notes:					
1/ FY 2023 - \$10.0 mil; FY 2024 - \$2.5 mil					