

State Match Advantage for Rural Transportation (AZ SMART) Fund Program

ADOT Multimodal Planning Division

AZ SMART Eligibilities

Eligible Uses include:

- Reimburse up to 50% of grant development and submission costs of a federal grant application. Limited to:
 - Counties with population of less than 100,000 and
 - Cities/towns with population of less than 10,000
- Match for a federal grant
- Reimbursement for design and other engineering services that meet federal standards for projects eligible for a federal grant

Current Eligible applicants are ADOT, all cities and towns and counties *except*:

- Maricopa and Pima Counties
- Guadalupe, Paradise Valley, Tempe, Tolleson, Youngtown, South Tucson

Federal Grants associated with Funding Requests

ADOT

- **Advanced Transportation Technology and Innovation (ATTAIN):**
 - Promotes safety and reduced travel times
 - \$52.78 million awarded nationally in May 2023

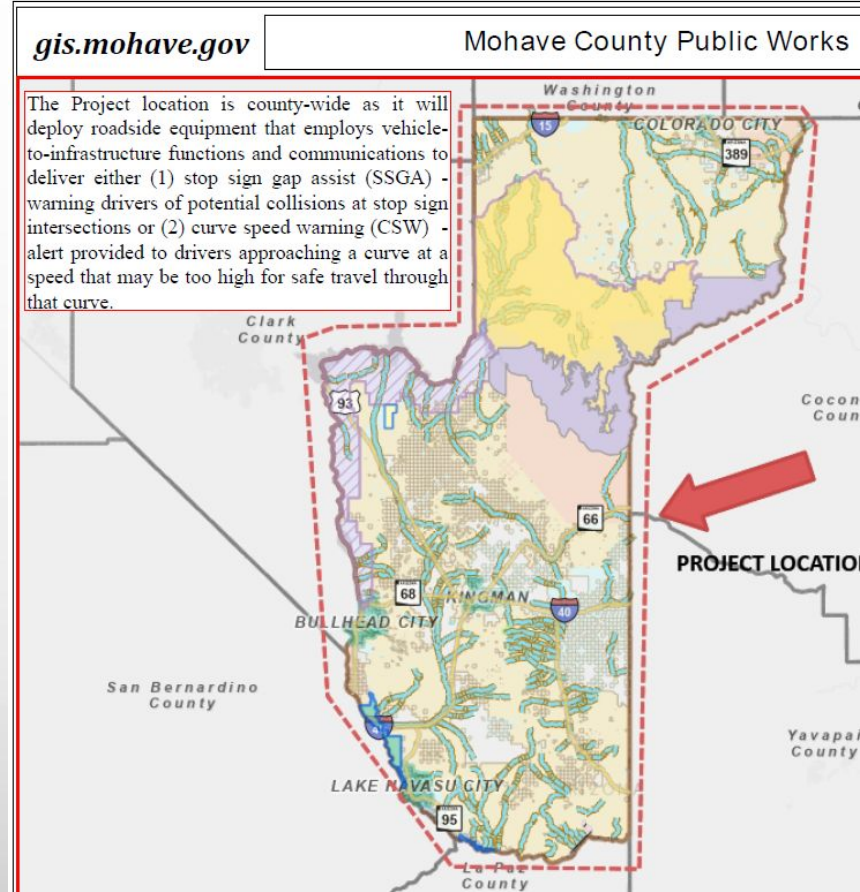
Mohave County

Project: Vehicle to Traffic Control signs

- AZ SMART Request will fund match on \$1 million ATTAIN grant award
- Project will deploy:
 - Stop sign technology to warn drivers of potential collisions at stop sign intersections
 - Curve Speed Warning technology to alert drivers their speed may be too high for safe travel in the curve
- Applicant requests ADOT administration

AZ SMART
Request:

\$250,000
for Match



Summary

- Summary and full applications in Board packet
- All are eligible applicants, eligible projects and have submitted COG/MPO approval if required
- All approved by PPAC to be considered for Board award

City/County Applications

- Total requested for Match : \$6,462,500
 - Includes \$3,062,500 **new** this month, plus \$3,400,000 **pending** from previous months
- Total requested for Design & Other Engineering Services: \$2,408,000
- Total requested for Grant Development & Submission: 0
- Board may approve, modify, deny or request more information

ADOT Applications

- Total requested for Match: \$1,880,000
- Total requested for Design & Other Engineering Services: \$3,750,000
- ARS §28-339.L: “On the Department’s request, the Board shall approve the use of monies as described in subsection F, paragraph 5 of this section. ” Refers to ADOT’s AZ SMART category, which may only be used for match or design purposes.

Current Applications

* *Discretionary* preference criteria for Match requests highlighted in yellow

Description	Mohave County ATTAIN
Application Summary	
AZ SMART Category	Counties 100K+
COG/MPO	Western Arizona Council of Governments (WACOG)
Project Type	Road
Project Name	V2I Enabled Rural Highway Traffic Control Signs (a.k.a. "Vehicle to TC Signs")
Application Received	8/4/2023 9:33
AZ SMART Request	
Federal Grant	Advanced Transportation Technology and Innovation (ATTAIN)
Federal Grant phase	Design, Construction
GDS requested	0
DOES requested	0
Match Requested	250,000
Applicant Match	0
Applicant Match %*	0%
Project Partners*	NA



Fund Activity and Available Funds by Category

As of 9/28/2023	Cumulative AZ SMART Fund Report				
	ADOT	Muni < 10K	Muni 10K+	County < 100K	County 100K+
Total Revenue					
Legislative Appropriations /1	\$ 12,500,000	\$ 12,500,000	\$ 12,500,000	\$ 12,500,000	\$ 12,500,000
Interest Earnings to Date	\$ 322,694	\$ 324,744	\$ 324,744	\$ 324,744	\$ 324,744
Total Revenues	\$ 12,822,694	\$ 12,824,744	\$ 12,824,744	\$ 12,824,744	\$ 12,824,744
Set Aside					
Grant Development		\$ -		\$ -	
Match Awards	\$ 1,250,000	\$ -	\$ 9,714,415	\$ -	\$ 3,797,342
Design & Engineering	\$ 3,750,000	\$ 1,819,264	\$ 6,311,707	\$ -	\$ 1,210,000
1% Program Administration	\$ -				
Match Released	\$ -		\$ (3,277,008)	\$ -	\$ -
Design Recovered	\$ -	\$ (807,500)	\$ -	\$ -	\$ -
Total Approved (Set Aside, Underway and Expended)					
Grant Development		\$ -		\$ -	
Match Awards	\$ 1,250,000		\$ 6,437,407	\$ -	\$ 3,797,342
Design & Engineering	\$ 3,750,000	\$ 1,011,764	\$ 6,311,707	\$ -	\$ 1,210,000
Miscellaneous/Overhead	\$ 100,000				
Total	\$ 5,100,000	\$ 1,011,764	\$ 12,749,114	\$ -	\$ 5,007,342
Available for Awards	\$ 7,722,694	\$ 11,812,980	\$ 75,630	\$ 12,824,744	\$ 7,817,402
Pending Requests					
Grant Development		\$ -		\$ -	
Match Awards	\$ -	\$ -	\$ -	\$ -	\$ 250,000
Design & Engineering	\$ -	\$ -	\$ -	\$ -	\$ -
Total	\$ -	\$ -	\$ -	\$ -	\$ 250,000
After Approval of Pending Requests	7,722,694	11,812,980	75,630	12,824,744	7,567,402

Requested Actions

- **Approve Mohave County request for match of \$250K for the ATTAIN grant**

State Match Advantage for Rural Transportation (AZ SMART) Fund Program Revisions

October 20, 2023

Paul Patane, ADOT Multimodal Planning Division

Context for Program Revisions

- Clarifications
- Unforeseen situations
- Legislative Change effective October 30, 2023

Board Documents

Section	Page #
Summary of Changes to the Program Guidelines and Application Instructions	1
Redline format showing the individual changes to the Program Guidelines and Application Instructions	2
"Clean" version of the revised the Program Guidelines and Application Instructions	28
Redline format showing the individual changes to the AZ SMART application form	54

Summary of Changes

10/5/2023

The following list provides a summary of the changes being proposed to the AZ SMART Fund Program Guidelines and Application Instructions. The proposed changes are the result of the passage of Laws 2023, Chapter 148 which changed applicant eligibility as well as questions and issues encountered during the Program's inaugural year. Most of the changes are clarifications or administrative in nature; substantive provisions are highlighted in yellow below.

Page/Section	Change
1, 2nd paragraph and bullet points	Updates information to reflect subsequent funding from the Legislature
1, last paragraph	Adds language to explain how ADOT determines eligibility of an application
2, Eligible Applicants	Updates eligibility as a result of Laws 2023, Chapter 148 which eliminated cities and towns partially or entirely located in urbanized areas in Maricopa and Pima Counties
2, Eligible Uses	Adds the new Transportation Alternatives Program to list of formula programs
3, 1st paragraph	Requires reporting from awardees receiving design funds from AZ SMART for updates to the Board, Legislature and Governor's office informed
3, under the chart	Reiterates that applications are only accepted online and not by email or mail
4, bullet points	Adds "Important" before Notes for emphasis
5, item 6	Strikes reference to Certification Accepted (CA) agencies, all of which are located in Maricopa and Pima Counties, due to eligibility change in Laws 2023, Chapter 148
6, item 9	Clarifies that applicants receiving design funding from AZ SMART are expected and agree to submitting a Federal Grant for construction of the project
6, item 10	Clarifies that once a Federal Grant is no longer being pursued, and Applicant receiving AZ SMART Funds will be required to repay any expenditure of those funds

Applicant Eligibility

Until October 30, 2023:

- All cities, towns and counties except for the following:
 - Maricopa and Pima Counties
 - Guadalupe, Paradise Valley, Tempe, Tolleson, Youngtown, South Tucson

Effective October 30, 2023:

- Only cities, towns and counties in Greater Arizona
- Except for Gila Bend, Maricopa and Pima County and all cities and towns in these counties will no longer be eligible
- Tribes may apply by partnering with an eligible applicant
- Projects in unincorporated areas will be submitted by an eligible county

Requested Action

- **Approve the proposed changes to the AZ SMART Program Guidelines and the Application**